



Re-X Before Recycling Prize Phase 1 Applicant Checklist

The purpose of this document is to be a resource to help participants review their submission materials to check that they are complete. Use of this checklist is optional. Using this checklist or template does not guarantee selection as a winner and competitors should always defer to the <u>official rules document.</u>

90-Second Video

Post your publicly accessible, 90-second video online (e.g., on YouTube).

- The real-world opportunity for a Re-X supply chain that your innovation will enable.
- Your solution and why it is transformative.
- Who you are and why you will be successful.

Cover Page

The cover page is not a separate document upload and will be filled out via HeroX.

- Project name
- Link to your 90-second online video
- Team members (names, contacts, and links to their LinkedIn profiles)
- Your city, state, and nine-digit zip code
- The topic area you are addressing
- Website (if applicable).

Narrative Questions (Not to exceed 2,500 words)

Use the following template: https://www.herox.com/ReXBeforeRecycling/resource/1565

Section 1: Opportunity (20%)

Re-X Supply Chain Opportunity:

□ Have you provided a detailed description of the Re-X supply chain opportunity, including the specific industry or domain it addresses?

Timing and Viability:

□ Is there an explanation of why the opportunity hasn't been realized, why now is the right time, and a clear path to economic viability for the supply chain?

Evidence-Based Validation:

Have you included evidence-based validation through methods like interviews or case studies? Are estimates and assumptions reasonable for waste stream, interventions, end markets, and expected impacts?

Section 2: Innovation (20%)

Innovation Concept Description:

□ Have you thoroughly described your innovation concept and how it advances the current state of affairs?

Value Proposition and Re-X Supply Chain Viability:

Is there a clear articulation of your innovation's value proposition and how it contributes to establishing a viable Re-X supply chain? Have you explained whether it saves money, increases effectiveness, or provides other benefits?

Anticipated Challenges and Solutions:

Have you provided details on anticipated challenges to realizing the supply chain opportunity? Have you outlined how your innovation can overcome these challenges effectively?

Section 3: Accomplishments and Team (20%) **Team Introduction:**

□ Have you introduced your team, highlighting the knowledge and skills that make your team capable of realizing the innovation?

Readiness and Resource Needs:

- □ Is there a description of your team's readiness to meet your goals? Have you indicated whether your team requires additional talent and/or resources?
- □ Include any letters of intent or support to strengthen your application.

Experience and Diversity:

□ Have you detailed your team's experience in trying new things, solving difficult problems, and overcoming barriers to bring ideas to reality? Have you highlighted your team's diversity?

Efforts to Advance Innovation:

Have you described your team's efforts to advance the innovation prior to or after the announcement of this Prize phase? Have you highlighted key learnings and achievements?

Section 4: Plan (20%)

Innovation Development and SMART Goals:

Have you described your current position in the innovation's development cycle and developed a plan with SMART goals to advance it towards implementation in a viable supply chain?

Proposed Success Metrics:

□ Is there a description of your team's proposed metrics to determine success? Have you considered using example metrics provided in Appendix C?

Risk Assessment and Mitigation:

Have you outlined risks associated with your innovation and provided strategies to mitigate them?

Analysis and Collaboration with National Lab:

Have you described any analysis, such as LCA or TEA, that has been conducted? Have you explained how collaborating with a national lab for analysis will contribute to your success?

Section 5: Community Benefits Plan (10%) Identify Affected Communities:

Have you identified the communities the supply chain will affect? Have you described the challenges or problems these communities are facing and how your proposed innovation will benefit them?

Engagement Strategies:

□ Is there a description of specific strategies and activities planned to engage, educate, gain trust, and obtain buy-in from these communities?

SMART Goal Inclusion:

□ Have you included at least one SMART goal addressing Diversity, Equity, Inclusion, Accessibility (DEIA), workforce implications, or environmental justice?

Summary Slide

Create public-facing submission summary slide. The slide should be a PowerPoint slide submitted as a PDF.

- □ Have you crafted a one-slide submission summary that includes technically specific details but is easily understandable by a diverse audience?
- Is the information presented in a format suitable for standard printout and conference room projection, ensuring readability for most people?

Analysis Support Work Slide

The analysis support work slide should be a PowerPoint slide submitted as a PDF.

Previous Analysis:

Have you briefly described any analysis, such as Life Cycle Assessment (LCA) or Techno-Economic Analysis (TEA), that your team has already conducted?

Technical Support and Consultation from National Lab:

Is there a description of how technical support and consultation from a national lab will enhance your team's understanding of the supply chain opportunity, advance your innovation, and quantify environmental and economic impacts?

Preferred Types of Analysis:

Have you identified which types of analysis would provide the most valuable insights, if known?

Top Three Choices of National Lab:

In order of preference, have you listed the team's top three choices of a national lab (NREL, ORNL, Argonne, LBNL, or PNNL) to provide analysis support? Have you provided the reasons for each preference?